

12-20-05

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UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Art Unit: 2171  
Wolfe ) Examiner: Unknown  
Serial No.: 10/634,318 )  
Docket: 324 )  
Filed: August 5, 2003 )  
Title: SYSTEM AND METHOD FOR )  
COMMUNICATING INFORMATION )  
RELATING TO A NETWORK )  
RESOURCE )

**THIRD INFORMATION**  
**DISCLOSURE STATEMENT**

Pursuant to §§ 1.56, 1.97(b)(3), and 1.98 of Title 37 of the Code of Federal Regulations, and in compliance with the duty of disclosure of the Applicant, as well as the duty of others substantively involved in the preparation of the above-identified application, the following items are enclosed:

- This Third Information Disclosure Statement
- Form PTO-1449 (Modified) Accompanying Third Information Disclosure Statement (2 copies)
- Copies of non-patent references listed on Form PTO-1449
- Return Receipt

This information disclosure statement is being filed pursuant to 37 C.F.R. §1.97(b)(3). Accordingly, no fee is required.

Pursuant to the Official Gazette Notice of August 5, 2003, the requirement under 37 C.F.R. §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication has been waived for applications filed after June 30, 2003. Copies of such references have not been provided. In addition, pursuant to the Official Gazette Notice of September 21, 2004, the requirement under 37 C.F.R. §1.98(a)(2)(iii) for submitting a copy of each cited U.S. patent application has been waived for those applications stored in the USPTO's IFW system. It is believed that all of the applications cited in this information disclosure statement are stored in the USPTO's IFW system, and can be readily viewed by the Examiner. Should the Examiner have difficulty accessing any cited application, the Examiner is invited to contact the undersigned, and the desired information will be promptly provided upon request.

A number of the references listed on the enclosed Modified Form PTO-1449 include books and other references that have been incorporated by reference into the present application or other applications filed by the Applicant. These references are listed because they may have been relied

upon as background information to develop disclosed subject matter, and they may not have been previously cited. In an effort to facilitate to the Examiner's consideration of this information, the table of contents or simple bibliographic information is provided for some of these references. Should the Examiner wish to receive a copy of any other part of such a reference listed in this information disclosure statement, the Examiner is invited to contact the Applicant and request a copy, which will be provided promptly to the Examiner (assuming any such information is reasonably available to the Applicant).

The Examiner's courtesy in considering the cited references is appreciated. Applicant reserves the right to later show, pursuant to 37 C.F.R. §1.131 or otherwise, that any one or more of the cited references is not prior art with respect to one or more of the claims being examined in the above-identified application, or can be more accurately dated. No representation is made that the information being cited is in fact material to the examination of the above-identified application, that a search was done, or that no better art exists. Pursuant to the Manual of Patent Examining Procedure, Chapter 609, Applicant requests that the Examiner consider each of the listed documents and initial and return to the undersigned a copy of the Modified Form PTO-1449. An additional copy of the Modified Form PTO-1449 is submitted for this purpose.

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|---|--|
| <b>Certification Under 37 C.F.R. §1.10</b>  |  |
| I hereby certify that the aforementioned enclosed documents are being deposited with the United States Postal Service as Express Mail (mailing label no. ED647813975) addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below. |  |
| Dated: December 19, 2005 <u>/Mark A. Wolfe/</u><br>Mark A. Wolfe  |  |

Respectfully submitted,

/Mark A. Wolfe/

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**Customer Number: 29757**

Form PTO-1449 (Modified) Accompanying Third Information Disclosure Statement (12/19/2005)  
Application Serial No. 10/634,318  
Page 1 of 4



U.S. Patent Documents

Initials\*

| <u>Id.</u> | <u>Citation</u>  |
|------------|--|
| AA.        | U.S. Patent No. 6,816,850, Culliss, Nov. 9, 2004   |
| AB.        | U.S. Patent No. 6,539,377, Culliss, Mar. 25, 2003  |
| AC.        | U.S. Patent No. 6,604,103, Wolfe, Aug. 5, 2003   |
| AD.        | U.S. Patent No. 6,182,068, Culliss, Jan. 30, 2001  |
| AE.        | U.S. Patent No. 5,822,537, Katseff, Oct. 13, 1998  |
| AF.        | U.S. Patent No. 5,754,766, Shaw, May 19, 1998  |
| AG.        | U.S. Patent No. 5,485,609, Vitter, Jan. 16, 1996   |
| AH.        | U.S. Patent No. 5,444,615, Bennett, Aug. 22, 1995  |
| AI.        | U.S. Patent No. 5,414,811, Parulski, May 9, 1995   |
| AJ.        | U.S. Patent No. 5,305,389, Palmer, Apr. 19, 1994   |
| AK.        | U.S. Patent No. 5,301,109, Landauer et al., April 5, 1994                                  |
| AL.        | U.S. Patent No. 5,261,071, Lyon, Nov. 1993   |
| AM.        | U.S. Patent No. 5,257,367, Goodlander, Oct. 1993   |
| AN.        | U.S. Patent No. 5,243,149, Comerford et al., Sep. 7, 1993                                  |
| AO.        | U.S. Patent No. 5,122,951, Kamiya, Jun. 16, 1992   |
| AP.        | U.S. Patent No. 5,014,327, Potter, May 7, 1991   |
| AQ.        | U.S. Patent No. 4,980,823, Liu, Dec. 25, 1990  |
| AR.        | U.S. Patent No. 4,943,908, Emma, Jul. 24, 1990   |
| AS.        | U.S. Patent No. 4,931,935, Ohira, Jun. 1990  |
| AT.        | U.S. Patent No. 4,860,197, Langendorf, Aug. 22, 1989                                       |
| AU.        | U.S. Patent No. 4,839,853, Deerwester et al., June 13, 1989                                |
| AV.        | U.S. Patent No. 4,760,606, Lesnick et al., July 26, 1988                                   |
| AW.        | U.S. Patent Application Serial No. 10/652,670, Wolfe, filed August 29, 2003 (Docket 295)   |
| AX.        | U.S. Patent Application Serial No. 10/611,077, Wolfe, filed July 1, 2003 (Docket 316)      |
| AY.        | U.S. Patent Application Serial No. 10/021,761, Wolfe, filed December 12, 2001 (Docket 307) |

Non-Patent Literature Documents

Initials\*

| <u>Id.</u> | <u>Citation</u>   |
|------------|---|
| AZ.        | Hawblitzel, "SLK: A Capability System Based on Safe Language Technology," Cornell University, April 15, 1997  |
| BA.        | Shankar, "Blaze browsing tool packs punch," Infoworld, April 14, 1997 (retrieved from <a href="http://www.infoworld.com/cgi-bin/displayArchives.pl?97-iw01-15.72c.htm">http://www.infoworld.com/cgi-bin/displayArchives.pl?97-iw01-15.72c.htm</a> ) |

Examiner's Signature: \_\_\_\_\_ Date Considered: \_\_\_\_\_

\* The Applicant requests that the Examiner initial if the reference is considered, whether or not the citation is in conformance with MPEP §609. Draw a line through citations not in conformance. Include a copy of this form with next communication to the Applicant.

- \_\_\_\_ BB. Chan, The Java Class Libraries (Addison Wesley 1997) (bibliographical information for volumes 1 & 2)
- \_\_\_\_ BC. Whitehead, "An Architectural Model for Application Integration in Open Hypermedia Environments," University of California-Irvine, 1997
- \_\_\_\_ BD. Russel, Running Windows NT Server (Microsoft Press 1997) (table of contents)
- \_\_\_\_ BE. Sun Microsystems, Inc., The Java 1.1 API Specification (Sun Microsystems 1997) (documentation listing and package index)
- \_\_\_\_ BF. "Blaze Web Performance Pack: xSpeed Technology," (retrieved from <http://www.xspeed.com/xspeed.htm> on December 30, 1996)
- \_\_\_\_ BG. "Internet Performance Products: Peak Net.Jet" (retrieved from <http://www.peak-media.com/netjet/netjet.html> on December 29, 1996)
- \_\_\_\_ BH. Livingston, "Will Too Many Users Destroy the Internet? I Hardly Think So," Infoworld, December 9, 1996, p. 35
- \_\_\_\_ BI. Sun Microsystems, Inc., The JavaBeans 1.0 API Specification, Dec. 4, 1996 (retrieved from <http://java.sun.com/beans>) (table of contents, introduction, and fundamentals)
- \_\_\_\_ BJ. Moeller, "Caching Software Speeds Browsing," PC Week, December 2, 1996, p.33
- \_\_\_\_ BK. Livingston, "Java-based app speeds Web pages to your browser," Infoworld, November 4, 1996 (retrieved from <http://www.infoworld.com/cgi-bin/displayNew.pl?/livingst/b1110496.htm>)
- \_\_\_\_ BL. Joachims, "WebWatcher: A Tour Guide for the World Wide Web," Carnegie Mellon University, September 1996
- \_\_\_\_ BM. Yellin, The Java Application Programming Interface: Volumes 1 & 2 (Addison Wesley 1996) (tables of contents and heirarchy diagrams)
- \_\_\_\_ BN. Adkins, Internet Security Professional Reference (New Riders 1996) (bibliographic information)
- \_\_\_\_ BO. Microsoft Corporation, Windows NT Server Resource Kit (Microsoft Press 1996) (tables of contents for resource guide, networking guide, internet guide)
- \_\_\_\_ BP. Wang, "Prefetching in World Wide Web," University College London, 1996 (no date on document, but believed to be published in 1996)
- \_\_\_\_ BQ. Padmanabhan, "Using Predictive Prefetching to Improve World Wide Web Latency," 1996 (no date on document, but believed to be published in 1996)
- \_\_\_\_ BR. Cao, "Implementation and Performance of Integrated Application-Controlled File Caching, Prefetching, and Disk Scheduling," 1996 (no date on document, but believed to be published in 1996)
- \_\_\_\_ BS. Schneier, Applied Cryptography (2nd edition John Wiley & Sons 1996) (table of contents)
- \_\_\_\_ BT. Vitter, "Optimal Prefetching via Data Compression," Duke University, November 13, 1995

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- BU. Davis, "Shared Annotation for Cooperative Learning," Xerox Corporation, Design Research Institute, September 1995
- BV. Roscheisen, "Shared Web Annotations as a Platform for Third-Party Value-Added, Information Providers," Stanford University, 1995 (no date on document, but believed to be published in 1995)
- BW. Pazzani, "Learning from Hotlists and Coldlists," University of California - Irvine, 1995 (no date on document, but believed to be published in 1995)
- BX. Roscheisen, "Beyond Browsing: Shared Comments, SOAPs, Trails, and On-line Communities," Stanford University, 1995 (no date on document, but believed to be published in 1995)
- BY. Lieberman, "Letizia: An Agent That Assists Web Browsing," Massachusetts Institute of Technology, 1995 (no date on document, but believed to be published in 1995)
- BZ. Mark Brownstein, "Fast Drives, Tiny Packages," PC/Computing, November 1994, pp. 140-41
- CA. "The Top 100 CD-ROMs," PC Magazine, September 13, 1994, p. 115
- CB. Griffioen, "Reducing File System Latency using a Predictive Approach," University of Kentucky, June 1994
- CC. Davis, "Believable Advice," Cornell University, Feb. 2, 1994
- CD. Davis, "A Server for a Distributed Digital Technical Report Library," Xerox Corporation, Jan. 15, 1994
- CE. Davis, "Drop-in publishing with the World Wide Web," Xerox Inc. and Cornell University, 1994 (no date on document, but believed to be published in 1994)
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- CH. Griffioen, "Automatic Prefetching in a WAN," University of Kentucky, October 1993
- CI. Patterson, "A Status Report on Research in Transparent Informed Prefetching," Carnegie Mellon University, February 1993
- CJ. CheckCite 3.0, The Definitive Citation Checker, User's Manual, by Jurisoft Mead Data Central, Inc., 1992, pp. 11-33, 67-81, 83-112, 127-129, and 131
- CK. Wiil, "Issues in the Design of EHTS: A Multiuser Hypertext System for Collaboration," The University of Aalborg, 1992 (no date on document, but believed to be published in 1992)
- CL. Vitter, "Optimal Prefetching via Data Compression," Brown University, July 1991
- CM. Tait, "Detection and Exploitation of File Working Sets," Columbia University, 1991 (no date on document, but believed to be published in 1991)
- CN. Ousterhout, "Why Aren't Operating Systems Getting Faster as Fast as Hardware?", Digital Western Research Laboratory, October 1989

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**Form PTO-1449 (Modified) Accompanying Third Information Disclosure Statement (12/19/2005)**

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**Page 4 of 4**

- CO. Nelson, "Caching in the Sprite Network File System," University of California - Berkeley, 1988  
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- CP. Tanenbaum, Computer Networks (2d ed. Prentice Hall 1981) (table of contents)

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